

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

EFG BANK AG

Name of Transferee

Name and Address where notices  
to transferee should be sent:

**EFG Bank**  
24, quai du Seujet  
CP 2391 1211 Genève 2  
SUISSE

Phone: 0041 22 918 79 45

Last Four Digits of Acct #: 0247

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

RBS COUTTS BANK AG

Name of Transferor

Court Claim # (if known): 45221

Date Claim Filed: \_\_\_\_\_

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see  
Schedule I): \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Transferee/Transferee's Agent

**Lucie Villette**

**Assistant Officer**

Date: December 7th, 2016

Penalty for making false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**Karinne Balzarini-Gautier**  
Vice President



## EVIDENCE OF TRANSFER OF CLAIM

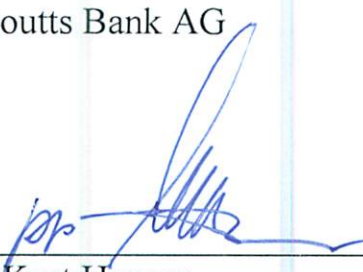
### **TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **RBS Coutts Bank AG, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **EFG Bank, 1211 Geneva 2, Switzerland** ("Transferee") all of its right, title, interest, <sup>AG</sup> claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 45221**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to the Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to the Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED on 01 December 2016

RBS Coutts Bank AG

By:   
Name: Kurt Hauser  
Title: Associate

By:   
Name: Hans-Peter Schmid  
Title: Director

SCHEDULE I

Lehman Programs Securities related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim filed	Issuer	Number of Units or Currency and Nominal Amount
XS0290588572	45221	October 23, 2009	Lehman Brothers Treasury BV	EUR 50'000.00
XS0274890523	45221	October 23, 2009	Lehman Brothers Treasury BV	USD 200'000.00